

02-28-05

IFCW/\$

PC25144A  
Correction of Inventorship

EV496345593US



Certificate of Mailing (37 C.F.R. §1.10):  
I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (EV496345593US) in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 24th day of February 2005.

s/ Rachel Potash  
Rachel Potash

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:  
SUZANNE BENEDICT, et al.

Serial No.: 10/754,171

Filed: January 9, 2004

For: TRICYCLIC COMPOUNDS PROTEIN  
KINASE INHIBITORS FOR ENHANCING THE  
EFFICACY OF ANTI-NEOPLASTIC AGENTS  
AND RADIATION THERAPY

Group Art Unit: 1625

Examiner: AULAKH, CHARANJIT

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CORRECTION OF INVENTORSHIP IN AN APPLICATION

37 CFR 1.48 (a)

Applicant requests to correct the Inventorship in the above-referenced application pursuant to 37 CFR 1.48(a). Inventors Yong Wang and JinJiang Zhu were inadvertently omitted from the Application. This error occurred without deceptive intent.

As requested in 37 CFR 1.48(a), Applicant attaches the following documents:

1. A statement from each person being added as an inventor that the error in inventorship occurred without deceptive intention on his part;
2. An oath or declaration required by §1.67 (a)(2);
3. The processing fee set forth in §1.17(i); and
4. The written consent of the assignee set forth in §3.73(b).

If any other fees are due in connection with the filing of this response please charge all necessary fees to the deposit account 500329.

Respectfully submitted,

Date: 2/24/05

Reena R. Desai

Reena R. Desai  
Agent For Applicants  
Registration No. 53,833

Agouron Pharmaceuticals, Inc./A Pfizer Company  
Patent Department  
10777 Science Center Drive  
San Diego, California 92121  
Phone: (858) 526-4932 Fax: (858) 678-8233

03/02/2005 HGUTEMA1 00000055 500329  
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PC25144A  
Correction of Inventorship



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**Examiner: AULAKH, CHARANJIT**

Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**STATEMENT OF INVENTOR IN AN APPLICATION**  
**37 CFR 1.48 (a)(2)**

I, Yong Wang, was inadvertently omitted as an inventor in United States Patent Application No. 10/754,171, filed January 9, 2004. This error in inventorship occurred without deceptive intention on my part.

Respectfully submitted,

Date: 2/22/2005

  
Yong Wang



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2005

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$ 130.00)

### Complete if Known

Application Number	10/754,171
Filing Date	January 9, 2004
First Named Inventor	Suzanne Benedict
Examiner Name	Aulakh, Charanjit
Art Unit	1625
Attorney Docket No.	PC25144A

### METHOD OF PAYMENT (check all that apply)

Check  Credit Card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 500329 Deposit Account Name: Agouron Pharmaceuticals,

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee

Charge any additional fee(s) or underpayments of fee(s)  Credit any overpayments

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

##### Fee Description

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Small Entity Fee (\$)	Fee (\$)
- 20 or HP =	x	=		50	25

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=				

HP = highest number of independent claims paid for, if greater than 3.

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Correction of Inventorship

### SUBMITTED BY

Signature	Reena R. Desai	Registration No. 53,833 (Attorney/Agent)	Telephone 858-526-4932
Name (Print/Type)	Reena R. Desai		Date 2/24/05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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s/ Rachel Potash  
Rachel Potash

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**In re the Application of:**  
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**Group Art Unit: 1625**

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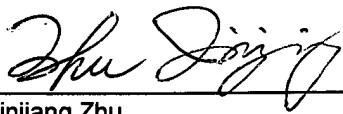
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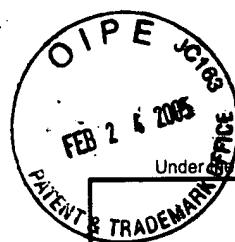
**STATEMENT OF INVENTOR IN AN APPLICATION**  
**37 CFR 1.48 (a)(2)**

I, Jinjiang Zhu, was inadvertently omitted as an inventor in United States Patent Application No. 10/754,171, filed January 9, 2004. This error in inventorship occurred without deceptive intention on my part.

Date: 2/22/05

Respectfully submitted,

  
\_\_\_\_\_  
Jinjiang Zhu



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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Agouron Pharmaceuticals, Inc.Application No./Patent No.: 10/754,171 Filed/Issue Date: 01/09/2004Entitled: Tricyclic Compounds Protein Kinase Inhibitors For Enhancing The Efficacy Of Anti-Neoplastic Agents And Radiation TherapyAgouron Pharmaceuticals, Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- the assignee of the entire right, title, and interest; or
- an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A  An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014358, Frame 0202, or for which a copy thereof is attached.

OR

B  A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

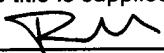
1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

Additional documents in the chain of title are listed on a supplemental sheet.

Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

  
February 16, 2005

Signature

Date

Bryan C. Zielinski

858-622-8864

Printed or Typed Name

Telephone Number

Assistant Secretary

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEB 24 2005

PTO/SB/01A (06-03)

Approved for use through 07/31/2003. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN  
APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

The attached application, (and Preliminary Amendment, if applicable), or

Application No. 10/754,171, filed on January 9, 2004

as amended on January 13, 2005 (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application.

All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

**Full Name of Inventor(s)**Inventor 1 SUZANNE BENEDICT

Signature

Citizen of USInventor 2 MICHAEL BENNETT

Signature

Citizen of USInventor 3 SACHA NINKOVIC

Signature

Citizen of CANADAInventor 4 MIN TENG

Signature

Citizen of US

Additional inventors are being named on page 2

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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**Full Name of Inventor(s)**

Inventor 5 EUGENE RUI

Signature Eugene Rui Citizen of US

Inventor 6 FEN WANG

Signature Fen Wang Citizen of CHINA

Inventor 7 YONG WANG

Signature Yong Wang Citizen of US

Inventor 8 JINJIANG ZHU

Signature Zhu Jijj Citizen of CANADA

Additional inventors are being named on

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